

# Modeling of Kinetics of Diffusive Phase Transformation in Binary Systems with Multiple Stoichiometric Phases

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The thermodynamic extremal principle is used for the treatment of the evolution of a binary system under the assumption that all phases in the system are nearly stoichiometric with no sources and sinks for vacancies in the bulk. The interfaces between the individual phases are assumed to act as ideal sources and sinks for vacancies, and to have an infinite mobility. Furthermore, it is assumed that several phases are nucleated in the contact plane of the diffusion couple at the beginning of the computer experiment. Then, it is shown that the number of newly nucleated phases determines the maximum number of polyfurcations (i.e., branching of a single configuration into several distinct configurations) of the initial contact (Kirkendall) plane. The model is demonstrated on a hypothetical binary system with four stoichiometric phases. The inverse problem, namely, the determination of the tracer diffusion coefficients in newly nucleated phases from the thicknesses of new phases and the positions of polyfurcated Kirkendall planes, is treated too.

**Keywords** diffusion modeling, diffusivity coefficient, intermetallic compound, Kirkendall effect, phase transformation

## 1. Introduction

Kirkendall experiments seem to be very significant for understanding the mechanism of diffusion; see the report on Kirkendall's seminal work, now more than 50 years later, by Nakajima.<sup>[1]</sup> Such experiments have been performed also on systems forming manifold, nearly stoichiometric phases with the surprising result that the original single Kirkendall plane splits into several planes. This effect is called a "polyfurcation" of the Kirkendall plane. The Kirkendall plane is a plane in the diffusion zone that is delineated by inert markers. The initial position of this plane is located at the contact plane of the diffusion couple. Paul et al.<sup>[2]</sup> have

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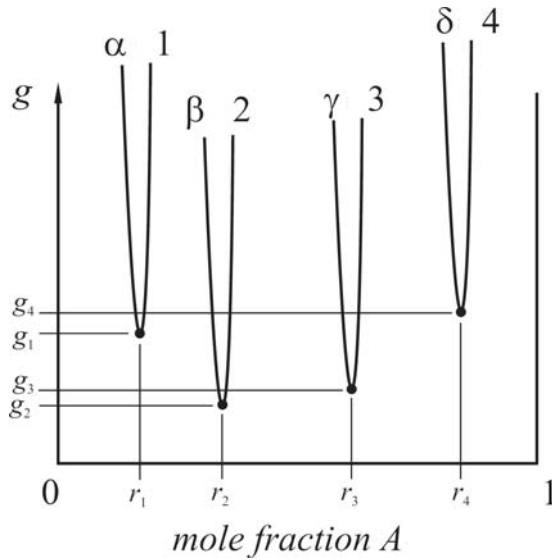
treated the problem by a physicochemical approach, based on the theory of reactive diffusion,<sup>[3–8]</sup> for one, two, and three newly nucleated phases. This approach utilizes the kinetic parameters in the newly nucleated phases like the integrated interdiffusion coefficient and the ratio of intrinsic fluxes.

It is necessary to note that the theory of reactive diffusion more or less tacitly assumes ideal sources and sinks for vacancies at the interfaces as well as at the infinite interface mobility. This seems to be a realistic assumption for incoherent interfaces. Moreover, the analysis<sup>[2]</sup> assumes inactive sources and sinks for vacancies in the bulk of the newly nucleated phases and their stoichiometricity.

The aim of this article is to present an alternative model to that of Paul et al.<sup>[2]</sup> for the diffusive phase transformation in binary systems with multiple stoichiometric phases. This new model is based on a purely thermodynamic treatment. It is more general, allows for an arbitrary number of newly nucleated phases, and utilizes thermodynamic data as the tracer diffusion coefficients, molar Gibbs energies, and molar volumes in the stoichiometric phases. It is also shown that the present treatment enables the solution of the inverse problem, namely, the determination of tracer diffusion coefficients of components in the newly nucleated phases. The model is demonstrated on a hypothetical binary system forming four nearly stoichiometric phases. The behavior of the Kirkendall plane is calculated with dependence on the values of the tracer diffusion coefficients in the newly nucleated phases.

## 2. The Model

Let us assume a binary system of components,  $A$  and  $B$ , forming  $n$  stable stoichiometric phases  $A_{r_i}B_{1-r_i}$ , with  $r_i$  being the mole fraction of the component  $A$  in the phase  $i$ ,  $0 \leq r_i \leq 1$ ,  $i = 1, \dots, n$ , and  $r_i$  increasing with  $i$  (Fig. 1).



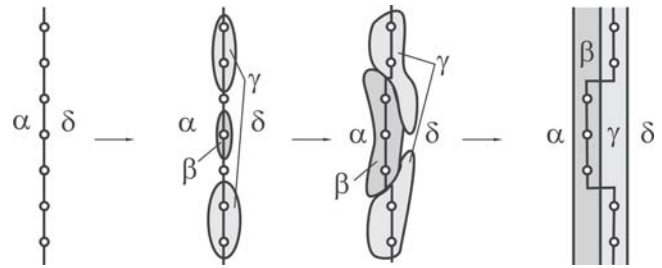
**Fig. 1** Gibbs energy diagram for binary AB system with four stoichiometric phases

The molar Gibbs energies of the phases (in their minima) are denoted by  $g_i, i = 1, \dots, n$ . If the partial molar volumes of components A and B in individual phases  $i, \Omega_{Ai}$ , and  $\Omega_{Bi}$  are known, the molar volume  $\Omega_i$  of the phase  $i$  is given by:

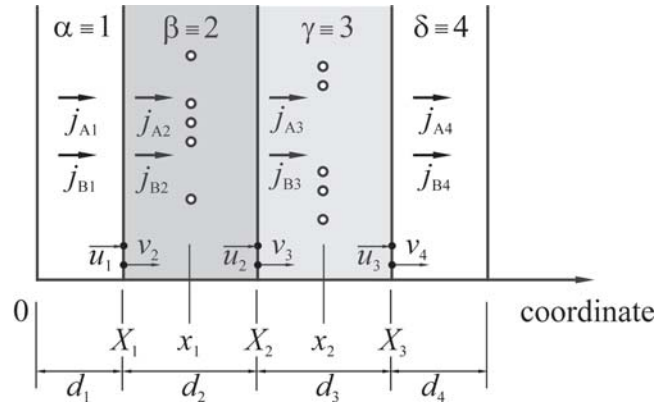
$$\Omega_i = r_i \Omega_{Ai} + (1 - r_i) \Omega_{Bi} \quad (\text{Eq 1})$$

As a starting configuration, the authors assume a diffusion couple of unit cross section consisting of the phases  $A_{r_1} B_{1-r_1}$  at the left side and  $A_{r_n} B_{1-r_n}$  at the right side of the interface with inert markers on it. It is assumed that during a negligible time interval very small nuclei of all other phases are built at the original interface and they grow into a sequence of phases, as schematically depicted in Fig. 2. All newly nucleated phases contain some of the markers. All phases are considered as single crystals without defects available for sources and sinks for vacancies in the bulk. The assumption of stoichiometricity guarantees a constant chemical composition and a constant molar volume in each phase. Because no sources and sinks for vacancies are assumed in the bulk of each phase, this implies that both diffusive fluxes,  $j_{Ai}$  and  $j_{Bi}$ , as well as the material velocity must be constant in each region occupied by an individual phase. The reason for the existence of fluxes is the fact that a very small alteration of the mole fraction in each phase causes large changes in the chemical potentials of both components. The interfaces between the phases are considered as incoherent, being freely mobile and acting as ideal sources and sinks for vacancies.

Let us analyze the behavior of an interface between the phases  $i$  and  $i + 1$  where the diffusive fluxes  $j_{Ai}, j_{Bi}$  and  $j_{Ai+1}, j_{Bi+1}$  occur. Figure 3 shows an advanced stage of the system. The balance between the fluxes at each interface is coupled with both the interface migration and the thickening or thinning (i.e., deposition or removal of new atomic layers) at the interface. The second effect causes a motion of the lattices of the individual phases relative to each other.



**Fig. 2** Schematic plot of nucleation stages at the interface with deposited markers



**Fig. 3** Definition of the kinetic parameters and of the system geometry

Let  $u_i$  be the velocity of the interface between the phases  $i$  and  $i + 1$  relative to the lattice of the phase  $i$ , and let  $v_{i+1}$  be the velocity of the interface relative to the lattice of the phase  $i + 1$ . The mass conservation for both the A and B components leads to the equations (see section 3 in the article by Fischer and Simha<sup>[9]</sup>):

$$\frac{u_i r_i}{\Omega_i} - \frac{v_{i+1} r_{i+1}}{\Omega_{i+1}} = j_{Ai} - j_{Ai+1}, \quad i = 1, \dots, n - 1 \quad (\text{Eq 2})$$

$$\frac{u_i (1 - r_i)}{\Omega_i} - \frac{v_{i+1} (1 - r_{i+1})}{\Omega_{i+1}} = j_{Bi} - j_{Bi+1}, \quad i = 1, \dots, n - 1 \quad (\text{Eq 3})$$

The velocities  $u_i$  and  $v_i$  can be calculated from Eq 2 and 3 as:

$$u_i = \frac{\Omega_i}{r_i - r_{i+1}} [(j_{Ai} - j_{Ai+1})(1 - r_{i+1}) - (j_{Bi} - j_{Bi+1})r_{i+1}] \quad i = 1, \dots, n - 1 \quad (\text{Eq 4})$$

$$v_{i+1} = \frac{\Omega_{i+1}}{r_i - r_{i+1}} [(j_{Ai} - j_{Ai+1})(1 - r_i) - (j_{Bi} - j_{Bi+1})r_i] \quad i = 1, \dots, n - 1 \quad (\text{Eq 5})$$

We consider a closed system with no deposition of matter at the surface of the system yielding the boundary conditions:

$$j_{A1} = 0, j_{B1} = 0, j_{An} = 0, j_{Bn} = 0, v_1 = 0 \text{ and } u_n = 0 \quad (\text{Eq 6})$$

## Section I: Basic and Applied Research

Then the rate of change of the thickness  $\dot{d}_i$  of the region of phase  $i$  (Fig. 3) is given by:

$$\dot{d}_i = u_i - v_i, \quad i = 1, \dots, n \quad (\text{Eq 7})$$

With Eq 4 to 6,  $\dot{d}_i$  can be expressed by means of the independent fluxes  $j_{Ai}$  and  $j_{Bi}$ ,  $i = 2, \dots, n-1$ .

If it is assumed that the left end of the specimen is fixed and situated in the origin of a spatially fixed coordinate system, one can determine the velocity  $w_i$  of the lattice of the phase  $i$  from:

$$w_{i+1} = w_i + u_i - v_{i+1}, \quad i = 1, \dots, n-1 \quad (\text{Eq 8})$$

and with  $w_1 = 0$  as:

$$w_{i+1} = \sum_{k=1}^i (u_k - v_{k+1}), \quad i = 1, \dots, n-1 \quad (\text{Eq 9})$$

Using Eq 1, 4, and 5 in Eq 9, one obtains, after some algebra:

$$w_i = -\Omega_{Ai} j_{Ai} - \Omega_{Bi} j_{Bi}, \quad i = 2, \dots, n-1 \quad (\text{Eq 10})$$

which is a commonly used equation. If, however, the partial molar volumes of components  $A$  and  $B$  in the individual phases  $i$ ,  $\Omega_{Ai}$ , and  $\Omega_{Bi}$  are not known explicitly (which may be the case for stoichiometric phases) and only the molar volumes  $\Omega_i$  are known, these quantities are sufficient to determine the velocity  $w_i$  by using Eq 4 and 5 in Eq 9 by a more complicated expression.

The velocity  $V_i$  of the interface  $i$  between the phases  $i$  and  $i+1$  can be calculated from:

$$V_i = \sum_{k=1}^i \dot{d}_k = \sum_{k=1}^i (u_k - v_k), \quad i = 1, \dots, n-1 \quad (\text{Eq 11})$$

The position  $X_i$  of the interface  $i$  at time  $t$  is given by the integration of Eq 11 with respect to time as:

$$X_i = X_{0i} + \int_0^t V_i dt, \quad i = 1, \dots, n-1 \quad (\text{Eq 12})$$

where  $X_{0i}$  is the position of the interface at time  $t = 0$ .

In some experimental studies of the Kirkendall effect in multiphase systems,<sup>[10,11]</sup> the polyfurcation of the Kirkendall plane is evident. After a certain time of annealing, the inert markers, which were originally positioned at the contact plane of the two alloys welded together, are found at different positions in the new phases nucleated at the contact plane and grown during annealing. Thus, one may assume that a new phase  $i$  nucleates at the contact region, and its lattice carries some of the markers with the velocity  $w_i$ . This is given by Eq 9 or 10. However, one cannot exclude that a specific interface crosses the Kirkendall plane by migration, and then the Kirkendall plane with markers that

was originally positioned in a newly nucleated phase  $i$  is later embedded in another phase or remains fixed at the migrating interface. This makes the treatment of the calculation of the positions of the multiple Kirkendall planes slightly more complicated. The authors do not assume any drag of the markers by moving with an interface or any pinning effect.

The actual positions  $X_i$  of the interfaces  $i$  are given by Eq 12, which can always be determined independently of the positions of the Kirkendall planes. Let us assume that at time  $t = 0$  the position  $x_{0k}$  of the Kirkendall plane  $k$  and its actual correspondence to a phase  $l$  are known. Then, the actual velocity of the Kirkendall plane  $k$  is  $w_l$ , and the position of the Kirkendall plane  $k$  at time  $t$  is given by:

$$x_k = x_{0k} + \int_0^t w_l dt \quad (\text{Eq 13})$$

The corresponding phase index  $l$  must be determined at any time from the actual positions of the interfaces  $X_i$  and the actual position of the Kirkendall plane  $x_k$ . In the multiphase Kirkendall experiments,<sup>[2]</sup> starting with a diffusion couple, all of the positions  $x_{0k}$  and  $X_{0i}$  coincide and are equal to the original position of the contact plane with markers. After the two parts of the diffusion couple are brought into contact and heated, nucleation of the new phases begins. During this process, it is assumed that each individual marker becomes captured in the bulk of one of the new phases. This scenario of nucleation is schematically depicted in Fig. 2. This situation represents the starting condition in the modeling with an already split Kirkendall plane and no singularity.

The kinetics of the system is now unambiguously determined by the independent diffusive fluxes  $j_{Ai}$  and  $j_{Bi}$ , with  $i = 2, \dots, n-1$  being the free kinetic parameters of the system. The actual values of the fluxes  $j_{Ai}$  and  $j_{Bi}$ ,  $i = 2, \dots, n-1$ , can be determined by the application of the thermodynamic extremal principle, which has been worked out in detail by Svoboda et al.<sup>[12]</sup> and is based on Onsager's work on the maximum dissipation rate in a diffusive process.<sup>[13]</sup>

### 2.1 Total Gibbs Energy and Its Rate of Change

The total Gibbs energy  $G$  of the system is given by

$$G = \sum_{i=1}^n \frac{g_i}{\Omega_i} d_i \quad (\text{Eq 14})$$

The molar Gibbs energies  $g_i$  and the molar volumes  $\Omega_i$  are supposed to be known and fixed quantities at a given temperature. Then, the rate of change of the total Gibbs energy can be calculated by using Eq 4 to 7 as:

$$\dot{G} = \sum_{i=1}^n g_i \left\{ (j_{Ai} - j_{Ai+1}) \frac{1 - r_{i+1}}{r_i - r_{i+1}} - (j_{Bi} - j_{Bi+1}) \frac{r_{i+1}}{r_i - r_{i+1}} - (j_{Ai-1} - j_{Ai}) \frac{1 - r_{i-1}}{r_{i-1} - r_i} + (j_{Bi-1} - j_{Bi}) \frac{r_{i-1}}{r_{i-1} - r_i} \right\} \quad (\text{Eq 15})$$

The partial derivatives with respect to diffusive fluxes are given by:

$$\begin{aligned} \frac{\partial \dot{G}}{\partial j_{Ai}} &= g_i \left( \frac{1-r_{i+1}}{r_i-r_{i+1}} + \frac{1-r_{i-1}}{r_{i-1}-r_i} \right) - g_{i-1} \frac{\Omega_{i-1}}{\Omega_i} \frac{1-r_i}{r_{i-1}-r_i} \\ &\quad - g_{i+1} \frac{\Omega_{i+1}}{\Omega_i} \frac{1-r_i}{r_i-r_{i+1}} = -F_{Ai}, \quad i = 2, \dots, n-1 \end{aligned} \quad (\text{Eq 16})$$

$$\begin{aligned} \frac{\partial \dot{G}}{\partial j_{Bi}} &= -g_i \left( \frac{r_{i+1}}{r_i-r_{i+1}} + \frac{r_{i-1}}{r_{i-1}-r_i} \right) + g_{i-1} \frac{\Omega_{i-1}}{\Omega_i} \frac{r_i}{r_{i-1}-r_i} \\ &\quad + g_{i+1} \frac{\Omega_{i+1}}{\Omega_i} \frac{r_i}{r_i-r_{i+1}} = -F_{Bi}, \quad i = 2, \dots, n-1 \end{aligned} \quad (\text{Eq 17})$$

The quantities  $F_{Ai}$  and  $F_{Bi}$  can be understood as the driving forces at a given temperature conjugated to the fluxes  $j_{Ai}$  and  $j_{Bi}$ .

## 2.2 Total Gibbs Energy Dissipation

The Gibbs energy is assumed to dissipate only by bulk diffusion. The migration of interfaces and thickening or thinning are considered to not be connected with dissipation. Then the total dissipation in the system is given by Svoboda et al.<sup>[12]</sup> as:

$$Q = \sum_{i=2}^{n-1} R_g T \Omega_i d_i \left( \frac{j_{Ai}^2}{r_i D_{Ai}^*} + \frac{j_{Bi}^2}{(1-r_i) D_{Bi}^*} \right) \quad (\text{Eq 18})$$

where  $R_g$  is the gas constant,  $T$  is the absolute temperature, and  $D_{Ai}^*$  and  $D_{Bi}^*$  are the tracer diffusion coefficients of components  $A$  and  $B$ , respectively, in the phase  $i$ .

The partial derivatives of  $Q$  with respect to the independent fluxes are given by:

$$\frac{\partial Q}{\partial j_{Ai}} = \frac{2R_g T \Omega_i d_i j_{Ai}}{r_i D_{Ai}^*}, \quad i = 2, \dots, n-1 \quad (\text{Eq 19})$$

$$\frac{\partial Q}{\partial j_{Bi}} = \frac{2R_g T \Omega_i d_i j_{Bi}}{(1-r_i) D_{Bi}^*}, \quad i = 2, \dots, n-1 \quad (\text{Eq 20})$$

## 2.3 Kinetic Equations

The independent diffusive fluxes  $j_{Ai}$  and  $j_{Bi}$ ,  $i = 2, \dots, n-1$  can be determined by application of the thermodynamic extremal principle,<sup>[12]</sup> which leads to a set of linear algebraic equations in the fluxes:

$$\frac{\partial \dot{G}}{\partial j_{Ai}} = \frac{1}{2} \frac{\partial Q}{\partial j_{Ai}} \quad \text{and} \quad -\frac{\partial \dot{G}}{\partial j_{Bi}} = \frac{1}{2} \frac{\partial Q}{\partial j_{Bi}}, \quad i = 2, \dots, n-1 \quad (\text{Eq 21})$$

The matrix of the set of linear equations is diagonal, and the solution of the problem can be performed easily as:

$$j_{Ai} = F_{Ai} \frac{r_i D_{Ai}^*}{R_g T \Omega_i d_i} \quad \text{and} \quad j_{Bi} = F_{Bi} \frac{(1-r_i) D_{Bi}^*}{R_g T \Omega_i d_i}, \quad i = 2, \dots, n-1 \quad (\text{Eq 22})$$

If one supposes that the values of  $d_i$ ,  $i = 2, \dots, n-1$ , are practically zero at the time  $t = 0$ , then the integration of the system of differential equations in Eq 7 yields, after the insertion of Eq 4, 5, and 22, a solution of the so-called parabolic type:

$$\begin{aligned} d_i &= \tilde{d}_i \sqrt{t} \quad \text{and} \quad \dot{d}_i = \tilde{d}_i / (2\sqrt{t}) \rightarrow \dot{d}_i = d_i / (2t) \\ i &= 2, \dots, n-1 \end{aligned} \quad (\text{Eq 23})$$

with  $\tilde{d}_i$  being parameters independent of time. Putting Eq 4, 5, 7, 22, and 23 together, one can eliminate the time, and the problem is reduced to a set of nonlinear equations for  $\tilde{d}_i$ ,  $i = 2, \dots, n-1$ . Then, it is easy to also show that all other kinetic parameters  $j_{Ai}$ ,  $j_{Bi}$ ,  $u_i$ ,  $v_i$ ,  $w_i$ , and  $V_i$  are proportional to  $1/\sqrt{t}$ . Furthermore, the changes of the position of each interface  $X_i - X_{0i}$ ,  $i = 1, \dots, n-1$ , (Eq 12) are proportional to  $\sqrt{t}$ , and this holds also for the changes of the positions of the Kirkendall planes  $x_k - x_{0k}$ , if the Kirkendall plane  $k$  remains always in the same phase. Then the velocities  $V_i$  are given by:

$$V_i = (X_i - X_{0i}) / (2t), \quad i = 1, \dots, n-1 \quad (\text{Eq 24})$$

Moreover, if the Kirkendall plane  $k$  always remains in the same phase  $i$ , then the velocity  $w_i$  can be calculated as:

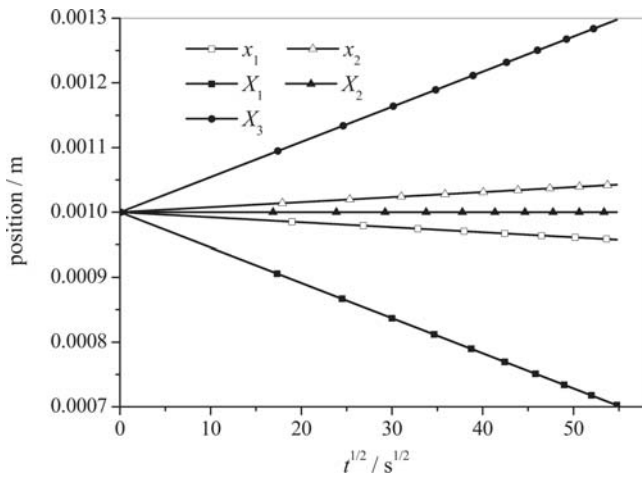
$$w_i = (x_k - x_{0k}) / (2t) \quad (\text{Eq 25})$$

## 2.4 Inverse Problem: Determination of Diffusion Coefficients $D_{Ai}^*$ and $D_{Bi}^*$

Let us assume that all values of  $g_i$ ,  $r_i$ , and  $\Omega_i$ ,  $i = 1, \dots, n$  are available for the  $n$ -phase system, and the tracer diffusion coefficients  $D_{Ai}^*$  and  $D_{Bi}^*$ ,  $i = 2, \dots, n-1$ , (altogether  $2n-4$  diffusion coefficients) have to be determined from one Kirkendall experiment. In the ideal case, if Kirkendall planes always remain in the same nucleated phase, one has at disposal  $2n-3$  independent kinetic parameters (e.g.,  $V_i$ ,  $i = 1, \dots, n-1$ , and  $w_i$ ,  $i = 2, \dots, n-1$ ), which are given by Eq 24 and 25. Thus, if no or only one of the Kirkendall planes leaves its original phase, the geometric data measured on the specimen are still sufficient for the determination of the tracer diffusion coefficients  $D_{Ai}^*$  and  $D_{Bi}^*$ ,  $i = 2, \dots, n-1$ . By using  $2n-4$  independent kinetic parameters from the sets  $d_i$ ,  $i = 2, \dots, n-1$ ,  $V_i$ ,  $i = 1, \dots, n-1$  and  $w_i$ ,  $i = 2, \dots, n-1$ , given by Eq 23 to 25, the diffusive fluxes  $j_{Ai}$  and  $j_{Bi}$ ,  $i = 2, \dots, n-1$ , can be calculated by means of Eq 4 to 7 and 9. If  $\tilde{d}_i$ ,  $i = 2, \dots, n-1$  and  $w_i$ ,  $i = 2, \dots, n-1$ , are chosen as the independent kinetic parameters, then the solution of the set of  $4n$  linear equations  $\mathbf{H} \cdot \mathbf{y} = \mathbf{z}$  provides the diffusive fluxes  $j_{Ai}$  and  $j_{Bi}$ ,  $i = 2, \dots, n-1$ . The vector  $\mathbf{y}$  of unknowns is given by:

$$y_i = j_{Ai}, \quad y_{n+i} = j_{Bi}, \quad y_{2n+i} = u_i, \quad y_{3n+i} = v_i, \quad i = 1, \dots, n \quad (\text{Eq 26})$$

The matrix  $\mathbf{H}$  and the right-hand side vector  $\mathbf{z}$  are given in the Appendix.



**Fig. 4** Evolution of the positions of the interfaces and of the Kirkendall planes for  $D_A^0 = (10^{-5}, 10^{-5}, 2 \cdot 10^{-5}, 10^{-5})$  and  $D_B^0 = (10^{-5}, 2 \cdot 10^{-5}, 10^{-5}, 10^{-5})$

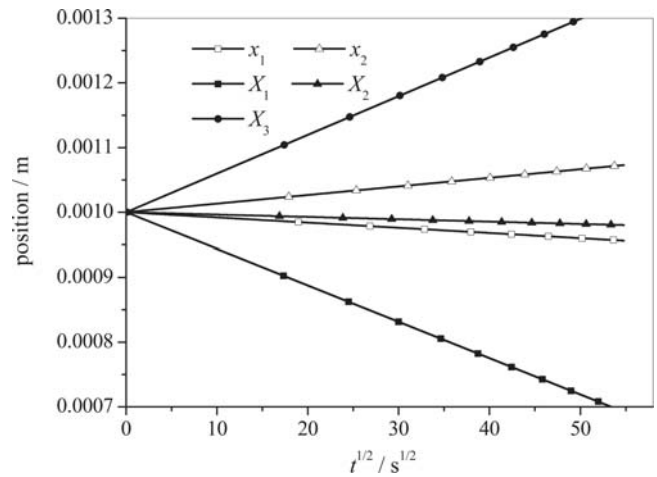
An analogous set of equations can also be obtained if one of the  $w_i$  value is replaced by one of the  $v_i$  values.

After determination of the diffusive fluxes  $j_{Ai}$  and  $j_{Bi}$ ,  $i = 2, \dots, n - 1$ , Eq 22 together with Eq 16 and 17 can be used for the evaluation of the tracer diffusion coefficients  $D_{Ai}^*$  and  $D_{Bi}^*$ ,  $i = 2, \dots, n - 1$ . The whole procedure outlined in this paragraph is applied to the results of the simulations presented in the following paragraph and leads to a perfect reproduction of the diffusion coefficients.

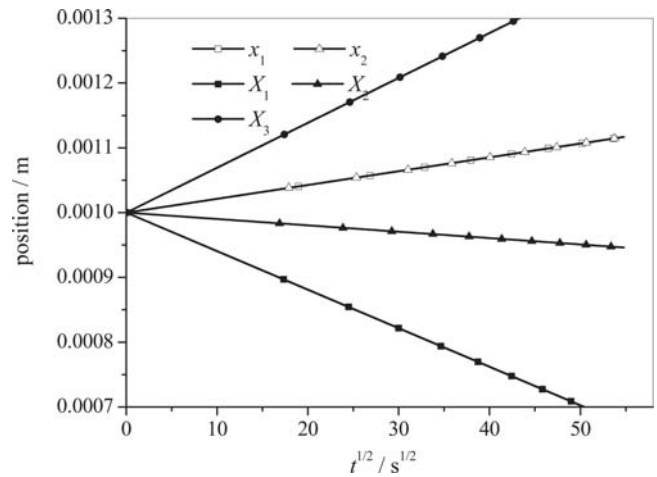
### 3. Results of Simulations and Discussion

To demonstrate the model,  $n = 4$  is chosen, and Eq 12 and 13 are integrated in time numerically. The molar volumes of all phases are chosen to be the same, namely,  $\Omega_i = 7 \times 10^{-6} \text{ m}^3 \text{ mol}^{-1}$ . The activation energies of all tracer diffusion coefficients are  $E_{Ai} = E_{Bi} = 1.5 \times 10^5 \text{ Jmol}^{-1}$ , ( $D_{ki}^* = D_{ki}^0 \exp[-E_{ki}/(R_g T)]$ ,  $k = A, B$ ). The temperature  $T$  is chosen as  $T = 1000 \text{ K}$ . The vector of the values of  $g_i$  is chosen as  $\mathbf{g} = (10^5, 0, 0, 10^5) \text{ Jmol}^{-1}$ , the vector of the values of  $r_i$  as  $\mathbf{r} = (0.2, 0.4, 0.6, 0.8)$ , and the initial values of  $d_i$  as  $\mathbf{d}_0 = (10^{-3}, 10^{-8}, 10^{-8}, 10^{-3}) \text{ m}$ . The initial positions of the Kirkendall planes are chosen in the center of phases 2 and 3. Then the only parameters kept free are the vectors  $D_A^0$  and  $D_B^0$  of the preexponential factors  $D_{Ai}^0$ , and  $D_{Bi}^0$  of the tracer diffusion coefficients.

The first simulation is performed for a “symmetric” case,  $D_A^0 = (10^{-5}, 10^{-5}, 2 \cdot 10^{-5}, 10^{-5})$  and  $D_B^0 = (10^{-5}, 2 \cdot 10^{-5}, 10^{-5}, 10^{-5})$ , which is depicted in Fig. 4. From Fig. 4 as well as from the following figures it is evident that the parabolic law holds. The selected diffusion coefficients ensure the deposition of atoms at the central interface, and, thus, symmetric bifurcation of the Kirkendall plane occurs. If the symmetry of the problem is destroyed by choosing  $D_A^0 = (10^{-5}, 10^{-5}, 3 \cdot 10^{-5}, 10^{-5})$  and  $D_B^0 = (10^{-5}, 2 \cdot 10^{-5}, 10^{-5}, 10^{-5})$  (by increasing the value of  $D_{A3}^0$ ), the results remain qualitatively the same (compare Fig. 4 and 5). A further increase of  $D_{A3}^0$  ( $D_A^0 = (10^{-5}, 10^{-5}, 5 \cdot 10^{-5}, 10^{-5})$  and



**Fig. 5** Evolution of the positions of the interfaces and of the Kirkendall planes for  $D_A^0 = (10^{-5}, 10^{-5}, 3 \cdot 10^{-5}, 10^{-5})$  and  $D_B^0 = (10^{-5}, 2 \cdot 10^{-5}, 10^{-5}, 10^{-5})$

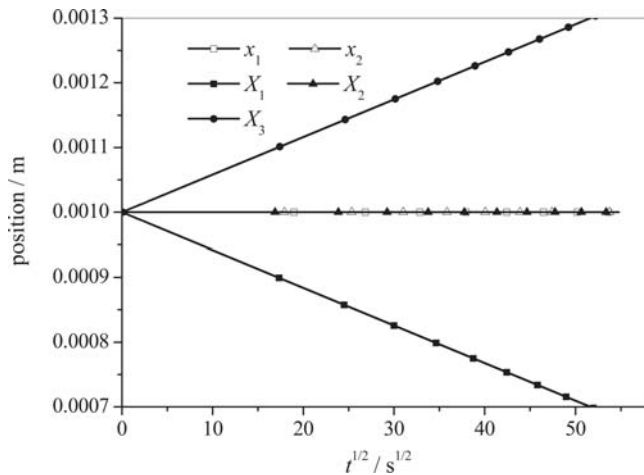


**Fig. 6** Evolution of the positions of the interfaces and of the Kirkendall planes for  $D_A^0 = (10^{-5}, 10^{-5}, 5 \cdot 10^{-5}, 10^{-5})$  and  $D_B^0 = (10^{-5}, 2 \cdot 10^{-5}, 10^{-5}, 10^{-5})$

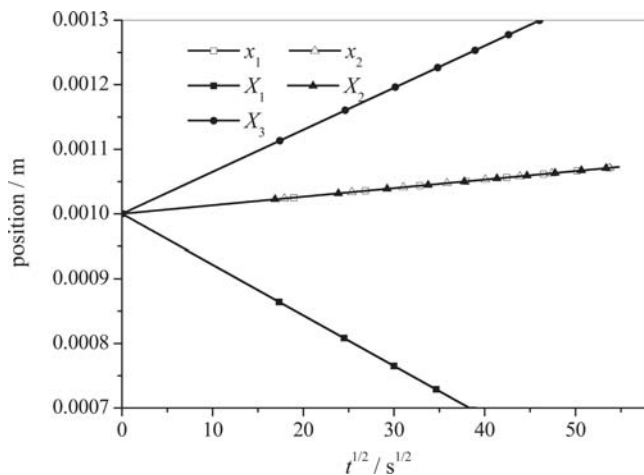
$D_B^0 = (10^{-5}, 2 \cdot 10^{-5}, 10^{-5}, 10^{-5})$ ), however, causes the Kirkendall plane, originally positioned in phase 3, to be very quickly absorbed by phase 2 and both, practically un-separated Kirkendall planes remain in the bulk of phase 2 (Fig. 6).

For the values of vectors  $D_A^0 = (10^{-5}, 2 \cdot 10^{-5}, 10^{-5}, 10^{-5})$  and  $D_B^0 = (10^{-5}, 10^{-5}, 2 \cdot 10^{-5}, 10^{-5})$ , there is a collection of atoms at the central interface, and both, originally slightly separated Kirkendall planes get together and are fixed at the central interface (Fig. 7). The situation remains qualitatively unchanged, if  $D_{A2}^0$  is increased (Fig. 8).

From the simulations, it is evident that the number of Kirkendall planes cannot increase during the computer experiment. This implies that if no markers are present in one of the newly nucleated phases at the beginning of the simulation, then the phase remains free of markers during the whole simulation unless a distinct Kirkendall plane moves into the phase from another phase. A new phase can nucle-



**Fig. 7** Evolution of the positions of the interfaces and of the Kirkendall planes for  $D_A^0 = (10^{-5}, 2 \cdot 10^{-5}, 10^{-5}, 10^{-5})$  and  $D_B^0 = (10^{-5}, 10^{-5}, 2 \cdot 10^{-5}, 10^{-5})$



**Fig. 8** Evolution of the positions of the interfaces and of the Kirkendall planes for  $D_A^0 = (10^{-5}, 5 \cdot 10^{-5}, 10^{-5}, 10^{-5})$  and  $D_B^0 = (10^{-5}, 10^{-5}, 2 \cdot 10^{-5}, 10^{-5})$

ate without markers if it nucleates a little bit later at an already newly created marker-free interface. In other words, splitting of the Kirkendall plane does not occur unless the nucleation process of the new phases ensures an initial redistribution of the markers into at least two new phases (e.g., according to the scenario depicted in Fig. 2). Such a nucleation process is obviously tacitly assumed also in the model by Paul et al.<sup>[21]</sup>: the nucleation process automatically redistributes the markers into all newly nucleated phases, and the phases with no markers are those that lose the markers during their growth. On this point, the model of Paul et al.<sup>[21]</sup> and the present model are in accordance.

The mechanism of splitting of the Kirkendall plane that is depicted in Fig. 2 is supported by the extensive work by Loo,<sup>[14]</sup> who used thin tungsten wires instead of thoria particles as markers. The wires were ruptured, and their fragments were present in all newly nucleated phases after the annealing. Moreover, Loo<sup>[14]</sup> also used square microinden-

tations at the specimen surface and observed their splitting in two halves accompanied by different shifts of the halves. The behavior of the microindentations can also be explained by the present model.

## 4. Summary

A new model for the phase transformations in binary systems forming stoichiometric phases is developed by means of the thermodynamic extremal principle. No sources and sinks for vacancies are assumed in the bulk of the phases. The interfaces are assumed to possess an infinite mobility and to act as ideal sources and sinks for vacancies. The model predicts the time evolution of positions of the interfaces as well as of the polyfurcated Kirkendall plane. The parabolic law for the positions of interfaces and Kirkendall planes is confirmed by the model. The problem formulation can be inverted, and the tracer diffusion coefficients of both components in the newly nucleated phases can be calculated from the positions of interfaces and Kirkendall planes. The behavior of the Kirkendall planes depending of the tracer diffusion coefficients of both components in the newly nucleated phases is simulated and discussed. It is also shown that the redistribution of the markers into newly nucleated phases at the original interface of the diffusive couple (e.g., according to the scenario depicted in Fig. 2) is the necessary condition for the polyfurcation of the Kirkendall plane.

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## Section I: Basic and Applied Research

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## Appendix

In the case, that the values of the independent kinetic parameters  $d_i$ ,  $i = 2, \dots, n-1$ , and  $w_i$ ,  $i = 2, \dots, n-1$ , are known, linear relations for the kinetic parameters  $j_{Ai}$ ,  $j_{Bi}$ ,  $u_i$  and  $v_i$ ,  $i = 1, \dots, n$ , can be obtained by considering the mass balance in the system expressed by Eq 4 to 9. A set of linear equations can be written as

$$\mathbf{H}\mathbf{y} = \mathbf{z} \quad (\text{Eq A1})$$

with the coefficient matrix  $\mathbf{H}$  of the rank  $4n$  and the solution vector  $\mathbf{y}^T = (j_{Ai}, j_{Bi}, u_i, v_i)$  consisting of  $4n$  unknowns. The components of the coefficient matrix  $\mathbf{H}$  and that of the right-hand side vector  $\mathbf{z}$  write as following:

The first  $n-1$  equations follow from Eq 4 as:

$$\begin{aligned} H_{i,i} &= \frac{\Omega_i \cdot (1 - r_{i+1})}{r_i - r_{i+1}}, & H_{i,i+1} &= -H_{i,i} & H_{i,n+i} &= -\frac{\Omega_i \cdot r_{i+1}}{r_i - r_{i+1}} \\ H_{i,n+i+1} &= -H_{i,n+i} & H_{i,2n+i} &= -1 & z_i &= 0 \quad i = 1, \dots, n-1 \end{aligned} \quad (\text{Eq A2})$$

As the  $n$ -th and  $(n+1)$ -th equations the boundary conditions  $u_n = 0$  and  $v_1 = 0$  (Eq 6) are chosen yielding

$$H_{n,3n} = 1, \quad z_n = 0 \quad \text{and} \quad H_{n+1,3n+1} = 1 \quad z_{n+1} = 0 \quad (\text{Eq A3})$$

Next  $n-1$  equations follow from Eq 5 as:

$$\begin{aligned} H_{n+i+1,i} &= \frac{\Omega_{i+1} \cdot (1 - r_i)}{r_i - r_{i+1}}, & H_{n+i+1,i+1} &= -H_{n+i+1,i} & H_{n+i+1,n+i} \\ &= -\frac{\Omega_{i+1} \cdot r_i}{r_i - r_{i+1}}, & H_{n+i+1,n+i+1} &= -H_{n+i+1,n+i} & H_{n+i+1,3n+i+1} \\ &= -1, & z_{n+i+1} &= 0, \quad i = 1, \dots, n-1 \end{aligned} \quad (\text{Eq A4})$$

The boundary condition  $j_{B1} = 0$  from Eq 6 represents the  $(2n+1)$ -th equation as:

$$H_{2n+1,n+1} = 1, \quad z_{2n+1} = 0 \quad (\text{Eq A5})$$

Equation 7 provide the next  $n-2$  equations with the labels ranging from  $2n+2$  to  $3n-1$  as:

$$H_{2n+i,2n+i} = 1, \quad H_{2n+i,3n+i} = -1 \quad z_{2n+i} = d_i \quad i = 2, \dots, n-1 \quad (\text{Eq A6})$$

The  $3n$ -th equation is given by the boundary condition  $j_{A1} = 0$ , (Eq 6), as:

$$H_{3n,1} = 1, \quad z_{3n} = 0 \quad (\text{Eq A7})$$

The next  $n-2$  linear relations  $3n+1$  to  $4n-2$  follow from Eq 9 as:

$$\begin{aligned} H_{3n+i,2n+k} &= 1, & H_{3n+i,3n+k+1} &= -1 & \text{and} & z_{3n+i} &= w_{i+1} \\ i &= 1, \dots, n-2 & k &= 1, \dots, i \end{aligned} \quad (\text{Eq A8})$$

The last two equations with the labels  $4n-1$  and  $4n$  represent the boundary conditions  $j_{An} = 0$  and  $j_{Bn} = 0$ , (Eq 6), yielding:

$$H_{4n-1,n} = 1, \quad z_{4n-1} = 0 \quad \text{and} \quad H_{4n,2n} = 1 \quad z_{4n} = 0 \quad (\text{Eq A9})$$